

APPLICATION FOR TRANSFER OF SAFETY ACT DESIGNATION AND CERTIFICATION

Except as may be limited by its terms, any Designation and, as applicable, Certification may be transferred and assigned to any other person, firm, or other entity to which the Seller transfers and assigns the right, title, and interest in and to the Technology covered by the Designation and, as applicable, Certification, including the intellectual property rights therein. Transfers of a Designation and, as applicable, Certification will not be effective unless and until the Department is notified in writing through the “Application for Transfer of Designation” form. Upon the effectiveness of the transfer, the transferee will be deemed to be a Seller in the place and stead of the transferor for all purposes under the SAFETY Act.

(Application for Transfer Form on following page)

APPLICATION FOR TRANSFER OF SAFETY ACT DESIGNATION AND CERTIFICATION

SELLER INFORMATION

T1. Name: _____

T2. QATT Information

T2.1. QATT Name: _____

T2.2. QATT Application Identification Number: _____

TRANSFeree INFORMATION

T3. Name: _____

T3.1. Transferee's place of incorporation: _____

DESCRIPTION OF TRANSFER

T4. Effective date of transfer: ____/____/20____

T5. Attach a description of the transfer agreement and supporting information as necessary.

T6. Insurance. Please provide information regarding the Transferee's insurance coverage for the relevant QATT and whether the Transferee's coverage satisfies the terms of insurance of the SAFETY Act Designation issued to the Transferor.

T7. Please provide information regarding the described transfer's effect on the QATT's safety or efficacy, or risk(s) associated with its deployment.

If POCs are provided as sources of information or testimonials, check below to indicate that you have contacted them and that they are expecting to hear from DHS related to your Technology. Also, indicate below what information we should expect from each POC.

The POCs are expecting contact from DHS. The information the POC can provide or verify is:

DECLARATION FOR WRITTEN SUBMISSIONS

I declare, to the best of my knowledge and belief, that the information provided in response to the questions set forth in this Application for SAFETY Act liability protections is true, factual, and correct, and that I am an authorized agent of the Applicant.

Prepared By: _____ Title (if applicable): _____

Signature: _____ Date: ___/___/20__

The signature of the Preparer must be notarized below:

State of: _____ County of: _____

Subscribed and sworn before me this _____ day of _____

Notary Public: _____

My Commission Expires on: _____

Instructions for Completing Application Transfer Form:

Seller Information

Item T1. Seller Name

Enter the name of the current Seller of the QATT you wish to transfer.

Item T2. QATT Information

Item T2.1. QATT Name

Enter the name of the QATT as it appears in the Seller's most recent correspondence with the Office of SAFETY Act Implementation (OSAI).

Item T2.2. QATT Application Identification Number

Enter the Application Identification Number of the original Designation for this QATT.

Transferee Information

Item T3. Name

Enter the registration name of the Transferee. This should be the company or business unit name used by the Transferee to register as a Seller. The recipient of the transfer must be registered with OSAI before the transfer can take place. See Chapter 2 of this kit for detailed instructions on how to register. Registration with OSAI does not commit the registrant to any further actions.

Item T3.1. Place of Incorporation

Identify the place where the transferee is incorporated.

Description of Transfer

Item T4. Effective Date of Transfer of Rights

Enter the date that the Transferee acquired the right to sell the QATT or the proposed date if that has not yet occurred. Use month/date/year format.

Item T5. Brief Description

Summarize the nature and terms of the transfer agreement. What rights (if any) does the current Seller retain? What rights are transferred to the Transferee?

Item T6. Insurance

In order for SAFETY Act protections to transfer, the Transferee must meet any special conditions associated with the Designation and/or Certification. In addition, the Transferee must meet the obligation to maintain insurance as set forth in the SAFETY Act Designation issued to the Transferor.

Item T7. Effect

Please describe the effect the transfer will have on the QATT's safety or efficacy and provide any available supporting information.

If POCs are provided as sources of information or testimonials, please indicate that you have contacted them and that they are expecting to hear from DHS related to your Technology. Also, indicate what information we should expect from each POC.

Declarations

An authorized agent of the current Seller and an authorized agent of the Transferee must sign and date this form before submitting it to OSAI. For electronic or Web submissions, follow the instructions provided at safetyact.gov.