



August 22, 2014

BLOCK DESIGNATION NOTICE

The Department of Homeland Security (the "Department") has completed its review of the Block Designation for Risk Assessments Performed Using the Environmental Protection Agency ("EPA") Vulnerability Self Assessment Tool ("VSAT") 6.0, pursuant to the Support Anti-terrorism by Fostering Effective Technologies Act of 2002, 6 U.S.C. §§ 441–444 (the "SAFETY Act"), and the Regulations Implementing the Support Anti-terrorism by Fostering Effective Technologies Act of 2002, 6 C.F.R. Part 25, 71 Fed. Reg. 33147, 33159 (June 8, 2006) (the "Regulations"). Pursuant to Section 25.3 of the Regulations, the Secretary of the Department of Homeland Security has delegated his responsibilities, powers, and functions under the SAFETY Act, except the authority to declare that an act is an Act of Terrorism for purposes of Section 444(2) of the SAFETY Act, to the "Under Secretary for Science and Technology of the Department of Homeland Security or the Under Secretary's designees."

In accordance with Sections 25.4(b) and 25.6(h) of the Regulations, I have determined that Risk Assessments Performed Using the EPA VSAT 6.0 affirmatively satisfy the criteria for a SAFETY Act Block Designation. Therefore, any Public Water Systems and/or Public Owned Treatment Works conducting Risk Assessments Performed Using the EPA VSAT 6.0 are eligible to apply for inclusion in this Block Designation, and may apply under this Block Designation for an expedited review of the application, subject to the terms and conditions below.

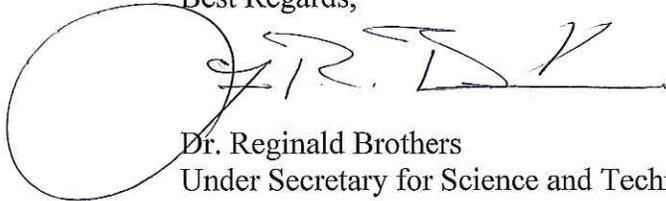
TERMS AND CONDITIONS

- Block Designation for the Risk Assessments Performed Using the VSAT 6.0. Risk assessments conducted using EPA's VSAT 6.0 have relevance to the Department's anti-terrorism mission and may apply under this Block Designation.
- Timeline for Expedited Review. Pursuant to Section 25.6(h)(1) of the Regulations, the Office of SAFETY Act Implementation ("OSAI") expects to process complete applications related to this Block Designation Notice within 90 days of receipt. This will allow for a complete review of an Applicant's insurance and financial information as well as to allow OSAI to coordinate with the EPA Program office.

- Application Requirements.
 - OSAI will coordinate with the Environmental Protection Agency Program office to verify the names of Applicants under the Block Designation.
 - Applicants under this Block Designation Notice will be required to submit a Block Designation Application via the SAFETY Act Website (www.safetyact.gov).
 - For the purposes of Section 25.6(h), if the Department approves an application submitted pursuant to a Block Designation, that applicant is considered a Seller of a Qualified Anti-terrorism Technologies. Pursuant to Section 443(a) of the SAFETY Act and Sections 25.5(a) and (g) of the Regulations, Sellers of Qualified Anti-terrorism Technologies shall obtain or maintain liability insurance for otherwise compensable third-party claims arising out of, relating to, or resulting from an Act of Terrorism when the Qualified Anti-terrorism Technology has been deployed in defense against, response to, or recovery from such an act. To establish such a limit, the Applicant will submit answers to Items BD.11 and BD.12 in the Block Designation Application.
- Term of this Block Designation Notice. This Block Designation Notice will expire on September 30, 2019. The expiration of this Notice is independent from, and will have no effect on, the term of any preexisting Designation received by Applicants under the Block.
- Other Conditions.
 - This Block Designation may be reviewed and modified at any time by the Department of Homeland Security.
 - The technology does not include the development and implementation of facility security measures and programs to mitigate the risks and vulnerabilities identified through use of VSAT 6.0. SAFETY Act coverage for these activities will require a separate application.

Note: Please refer to specific "Risk Assessments Performed Using the Environmental Protection Agency ("EPA") Vulnerability Self Assessment Tool ("VSAT") 6.0" instructions posted on www.safetyact.gov for further guidance on filing an application pursuant to this Block Designation Notice. Any questions regarding this Block Designation Notice should be directed to the SAFETY Act Help Desk by e-mail at SAFETYActHelpDesk@dhs.gov or by phone at 1-866-788-9318. Please reference "Risk Assessments Performed Using the EPA VSAT 6.0" in all correspondence.

Best Regards,

A handwritten signature in black ink, appearing to read "R. Brothers", with a large, loopy initial "R" on the left side.

Dr. Reginald Brothers
Under Secretary for Science and Technology

Exhibit A

The Environmental Protection Agency ("EPA") Vulnerability Self Assessment Tool is a multi-hazard risk assessments tool provided by the EPA for use by water and/or wastewater utility owners and operators, to conduct risk assessments of water, wastewater, and combined utilities against both man-made threats and natural disasters. Risk Assessments Performed Using the EPA Vulnerability Self Assessment Tool ("VSAT") 6.0, uses VSAT 6.0 to perform a risk assessment in support of security planning decisions for prioritizing resources and countermeasures, based on the consequences, vulnerability, and likelihood of occurrence of Acts of Terrorism (the "Technology").

The Technology includes performance of a risk assessment using VSAT 6.0 when:

- Performed under the direction and supervision of a Public Water System and/or Public Owned Treatment Works owner, manager, or certified operator responsible for a water, wastewater, or combined utility,
- Conducted by qualified persons, and
- Performed, at a minimum, every five years.