

Tips for Submitting a Successful SAFETY Act Application

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Office of SAFETY Act Implementation
DHS S&T



**Homeland
Security**

Science and Technology



The SAFETY Act

- The Support Anti-terrorism by Fostering Effective Technologies Act of 2002 (the SAFETY Act) was enacted as part of the Homeland Security Act of 2002 (Title VIII, Subtitle G).
- The SAFETY Act is a unique program that employs risk and liability management systems to encourage the private sector to make consequential investments to strengthen our homeland security.
- Protections apply **only** to claims arising out of, relating to, or resulting from an **Act of Terrorism** where SAFETY Act covered technologies have been deployed.



The SAFETY Act Process





The SAFETY Act Process

Usually applications are submitted electronically through the SAFETY Act Web site (www.safetyact.gov). To begin the process, you must create an account and list designated points of contact.

TIP: OSAI can only discuss applications with your designated points of contact. You are allowed to list multiple points of contact.

TIP: Identify technical, insurance, and financial points of contact.





Information Security



- Web site and information submission system is DHS CIO Certified and Accredited
- Each application's reviewer signs a COI/NDA statement
- Classified data can be processed (separately)
 - Call help desk if you need assistance!
 - **Submittal Tip:** If you wish to submit classified or sensitive data, transmit data to the DHS and confirm receipt before you submit your application.



PRINTER FRIENDLY MATERIALS

CATEGORY:

ALL Documents

- Application Kit (PDF)
- Application for Block Certification and Instructions
- Application for Block Designation and Instructions
- Application for Certification and Instructions
- Application for D,T and E Designation and Instructions
- Application for Designation and Certification and Instructions
- Application for Designation and Instructions
- Application for Registration and Instructions
- Application for Tr
- Block Standards
- Contour Instruct
- Final SAFETY Act

Check out the Printer Friendly Materials and the FAQs!



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SAFETY Act

Support Antiterrorism by Fostering Effective Technologies Act of 2002

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What is the SAFETY Act?

The SAFETY Act provides important legal liability protections for providers of Qualified Anti-Terrorism Technologies - whether they are products or services. The goal of the SAFETY Act is to encourage the development and deployment of new and innovative anti-terrorism products and services by providing liability protections.

For more details, see the [SAFETY Act Final Rule](#).

The SAFETY Act Benefits to Your Company

Encouraging the **DEVELOPMENT and DEPLOYMENT** of **ANTI-TERRORISM TECHNOLOGIES**

Submittal Tip: Schedule a Consultation First!

- OSAI offers free consultations to anyone seeking SAFETY Act coverage.
- We highly encourage SAFETY Act applicants to request a consultation before submission.
- The easiest way to request a consultation is with a pre-application.
- We are happy to walk you through the process before you apply!





TIP: Selecting the right application type will help guide your information preparation efforts.

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Submittal Tip: Picking the Right Application

- **DT&E Application**
- **Designation Application**
- **Designation and Certification**

Is this a Re-submittal of a Previous Application?



Submittal Tip: Organization

- Assemble your team.
- Answer every question.
- Be clear, concise, and direct.
- Relate your answers to any supporting materials.
- Organize your application in a logical manner, providing a table of contents for your attachments.

Before submitting your application, make sure that you have labeled all attachments, that all attachments are readable, and that you have notified all your points of contact that we may contact them.





Areas of Information

- Technology Description and Scope
- Capability to Provide the Technology
- Showing That You Effectively Provide the Technology
- Showing That You Can Repeatedly Provide the Technology in a Consistent Manner
- Financial and Insurance Data

Submittal Tip: Technology Descriptions

Technology = What You Sell/Provide/Offer

- Tell us what you do or what you provide
- Keep it simple
- Focus on the anti-terrorism aspects

Submittal Tips:

- Scope matters
- Be clear and concise



Submittal Tip: Capability

Tell the story of your Technology

- ***Who, What, Where, Why, How***
- **Support your story**
 - Processes, procedures
 - Developmental Tests
 - Training Materials
 - Software Development Plans
 - HR Processes
 - Basic Research
 - Industry Best Practices
 - Standards



Submittal Tip: Effectiveness

▪ **Show Us Your Successes**

- Operational tests
- Customer feedback
- Red-Teaming
- Incident reports

▪ **How Do You Know it works?**

- Audits (Internal/External)
- Quality Control Program

▪ **Safety**

- Both User & Provider



Submittal Tip: Repeatability



Consistency across deployments

Maintaining quality across deployments

Maintaining effectiveness in future sales

Submittal Tip: Insurance

- You will need to maintain liability insurance for coverage of the anti-terrorism technology at issue
- Getting Quotes
- Exclusions
- Supplying Financial Data



Everyone who reviews a SAFETY Act application signs a COI/NDA.

Please contact the Help Desk with any concerns about supplying your financial data.

Recipe for Success

- Schedule a pre-submission consultation through the Help Desk.
- Ask early, ask often! We are here to help you through the process.
- Take your time, make sure your submission is clear, concise, and organized.
- Working through the process can help you refine your Technology and your process.





Additional SAFETY Act Information

- **Online:** www.safetyact.gov
 - FAQs
 - Help Topics
 - Help Desk: Online form for questions requiring an individual response
- **Email:** SAFETYActHelpDesk@dhs.gov
- **Toll-Free:** 1-866-788-9318





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