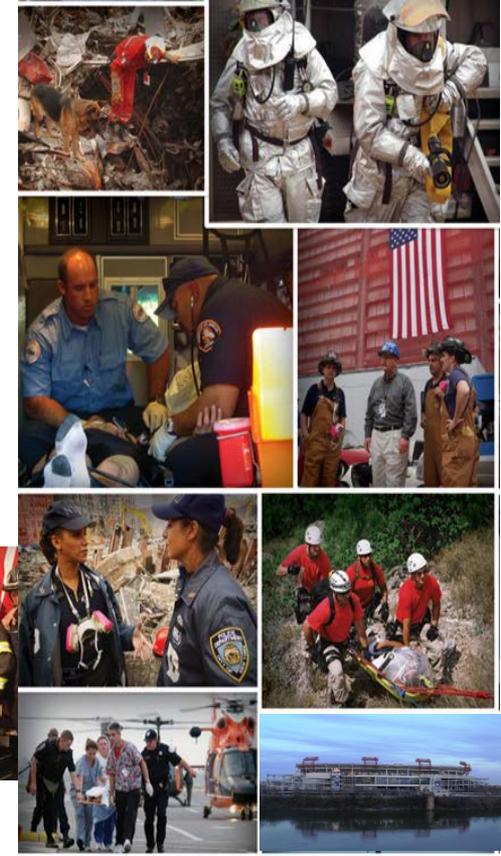


# Streamlined Processing for SAFETY Act Applications

## SAFETY Act Webinar

25 September 2014



Bruce Davidson  
Director  
Office of SAFETY Act Implementation  
Science and Technology Directorate



# Homeland Security

Science and Technology





**Homeland  
Security**

Science and Technology

# SAFETY Act Updates



# What is the SAFETY Act?

---



- Congressionally enacted as part of the Homeland Security Act of 2002
- Provides legal liability protections for providers of qualified anti-terrorism technologies (QATTs) that could save lives in the event of a terrorist attack
- Protections apply **only** to claims arising out of, relating to, or resulting from an act of terrorism where QATTs have been deployed
- The Secretary of Homeland Security determines an act to be an “act of terrorism” for purposes of the SAFETY Act



# What protections are available?

**Liability cap**

**Exclusive action in Federal court**

**No joint and several liability for non-economic damages**

**No punitive damages or prejudgment interest.**



**Limitations on the use and deployment**

**Liability protections associated apply only to acts that occur during the term**

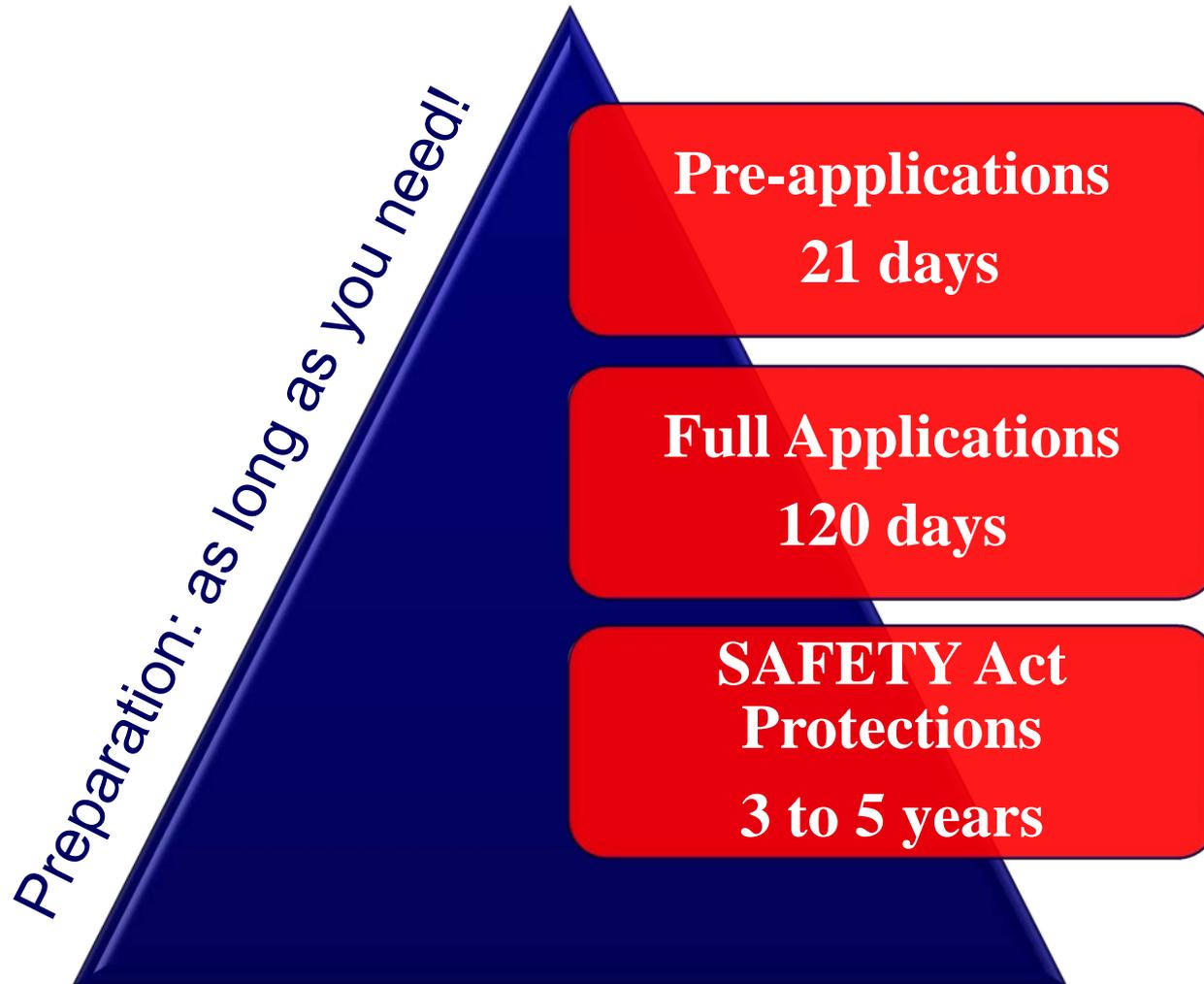
**All benefits of Designation, plus...**

**Government Contractor Defense**

**Approved SAFETY Act Product List for Homeland Security**



# SAFETY Act Timelines



# Streamlined Review Basics

---

## Three methods for streamlined reviews:

- Individual Expedite Requests
- Block Designations and Block Certifications (Blocks)
- Pre-Qualification Designation Notices (PQDNs)

## Streamlined reviews assume that:

- A company has an anti-terrorism technology which meets established performance standards or defined technical characteristics as reflected in a Block Designation/Certification or PQDN.
- An appropriate Government agency or a third-party can attest that you meet those standards of technical characteristics.
- A company can reasonably obtain some insurance that would respond to third-party liability claims in the event of an act of terrorism.





# Block and PQDN Application Process

## Locate

- Blocks and PQDNs are posted on [www.SAFETYAct.gov](http://www.SAFETYAct.gov) under “Procurements and Blocks” Tab
- All instructions for streamlined processing are under “PRINTER FRIENDLY MATERIALS” Tab

## Apply

- Submit the required technical information.
- Answer the insurance and financial information.

## Evaluate

- We will ask you to confirm information about your insurance and financials.
- We will verify your eligibility for the Block or PQDN.

**Processing goal is 60-90 days.**



# Block and Procurement Lists

*What is the SAFETY Act?*

The SAFETY Act provides important legal liability protections for providers of Qualified Anti-Terrorism Technologies - whether they are products or services. The goal of the SAFETY Act is to encourage the development and deployment of effective anti-terrorism products and services by providing liability protections.

For more details, see the [SAFETY Act Final Rule](#).

**The SAFETY Act**   **Benefits to Your Company**

*Encouraging the DEVELOPMENT and DEPLOYMENT of ANTI-TERRORISM TECHNOLOGIES*

## RECENTLY APPROVED TECHNOLOGIES

**Sensity Systems Inc.**

August 06, 2014 - Sensity Systems Inc. provides NetSense (the "Technology"). The Technology is a Light Sensory Network ("LSN") which may be used as a wired or WiFi network that allows for the connection of third party products such as cameras and sensors. The Technology also includes site surveys, photometric evaluations, design, and installation. This Designation will expire on August 31, 2019.

1 of 10   [VIEW ARCHIVE](#)

## PROCUREMENTS AND BLOCKS

- [Active Procurement List](#)
- [Active Block Designations](#)
- [Active Block Certifications](#)

## PRINTER FRIENDLY MATERIALS

- [SAFETY Act 101 For Small Businesses](#)
- [Step by Step User Guide](#)
- [SAFETY Act 101 Briefing](#)
- [SAFETY Act Fact Sheet](#)
- [See More Materials](#)

**Number:** BD-10

**Issue Date:** August 22, 2014

**Expiration Date:** September 30, 2019

**Instruction:** Risk Assessments Performed Using the Environmental Protection Agency ("EPA") Vulnerability Self Assessment Tool ("VSAT") 6.0 : The Under Secretary for Science and Technology, Department of Homeland Security, announces the approval of a Block Designation for Risk Assessments Performed Using the Environmental Protection Agency ("EPA") Vulnerability Self Assessment Tool ("VSAT") 6.0. Entities using VSAT 6.0 to conduct risk assessments of water, wastewater, or combined utilities, may apply for SAFETY Act protections using a Block Designation form. Please see the "Block Designation" list on [www.dhs.gov/safetyact.gov](http://www.dhs.gov/safetyact.gov) for additional information, as well as the specific instructions for this Block Designation.

### Attachments

- [BD-10 SAFETY Act Block Notice](#)
- [BD-10SAFETY Act Block Instructions](#)



# Submitting an Application

Science and Technology

[USER HOME](#)

[HOME](#)

[ABOUT US](#)

[APPROVED TECHNOLOGIES](#)

[HELP](#)

[FAQs](#)

[CONFERENCES](#)

[SEARCH APP](#)

[LOGOUT](#)

Hello KELLIE

## Session Time-out Notice

Your session will time-out after 20 minutes of inactivity. You can remain active by clicking a link in the Actions menu on this form, clicking elsewhere on the site, or clicking Ok [Close](#) when prompted to restart your session. Applicants are encouraged to save as Draft often to avoid losing work.

### Applicant Tools

#### Create

- Application
- Procurement Application
- Block Designation
- Block Certification
- Renewal

#### View

- Open Applications
- My Closed Applications

### Account Center

KELLIE

- Edit My Account
- Change My Password

## Creating an Application

OMB No. 1640-0001; Expires 03/31/2013

Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number.

### First Time Applicants:

Applicants are strongly encouraged to consider first submitting a Pre-Application form to request a Pre-Application Consultation. A Pre-Application Consultation is a voluntary means through which the Office of SAFETY Act Implementation (OSAI) provides helpful guidance to potential applicants without requiring the completion and submission of a full SAFETY Act Application. The Pre-Application Consultation is intended to facilitate a process by which a potential applicant may provide OSAI with initial information regarding their Technology, so that OSAI may, in turn, provide potential applicants with guidance regarding the submission of an Application for SAFETY Act Designation. The Pre-Application Consultation is also intended to facilitate discussions regarding the SAFETY Act Application process.

[Create New Pre Application](#) (request for Pre-Application Consultation)

[Create New Designation Application](#) (full Application for QATT Designation)

[Create New Designation and Certification Application](#) (full Application for combined QATT Designation and GCD Certification)

[Create New DT&E Designation Application](#) (full Application for Developmental Testing and Evaluation Designation)

[Create New Transfer Application](#) (full Application for Transfer Request)

[Download and review full Application Kit and instructions](#)

### \*\* POPUP BLOCKERS \*\*

You must disable all popup blockers you may have running in order to successfully submit an

**Step 1: Select Create Procurement, Block Designation, or Block Certification**



# Submitting an Application

[USER HOME](#)

[HOME](#)

[ABOUT US](#)

[APPROVED TECHNOLOGIES](#)

[HELP](#)

[FAQs](#)

[CONFERENCES](#)

[SEARCH APP](#)

[LOGOUT](#)

Hello KELLIE

### Session Time-out Notice

Your session will time-out after 20 minutes of inactivity. You can remain active by clicking a link in the Actions menu on this form, clicking elsewhere on the site, or clicking Ok [Close](#) when prompted to restart your session. Applicants are encouraged to save as Draft often to avoid losing work.

### Applicant Tools

#### Create

- [Application](#)
- [Procurement Application](#)
- [Block Designation](#)
- [Block Certification](#)
- [Renewal](#)

#### View

- [My Open Applications](#)
- [My Closed Applications](#)

### Account Center

#### KELLIE

- [Edit My Account](#)
- [Change My Password](#)

### LIST OF BLOCKS

PAGE # 1

[NEXT PAGE](#)

Number	Title	FILTER :
<a href="#">7286</a>	CR 7286 Test	
<a href="#">BD-16</a>	KU01072013A	
<a href="#">1010</a>	KU07262012	
<a href="#">BD-21</a>	NFPA Mass Notification	
<a href="#">BD-27</a>	This is the Title	
<a href="#">BD-19</a>	Title 19	
<a href="#">BD-2</a>	ku test 1	
<a href="#">BD-17</a>	test	
<a href="#">BD-8</a>	test	
<a href="#">BD-18</a>	test 18	
<a href="#">444</a>	testrrr	

PAGE #

[NEXT PAGE](#)

**Step 2: Select the Block (or Procurement) from the list shown.**



# Submitting an Application

U.S. DEPARTMENT OF HOMELAND SECURITY

[USER HOME](#) [HOME](#) [ABOUT US](#) [APPROVED TECHNOLOGIES](#) [HELP](#) [FAQs](#) [CONFERENCES](#) [SEARCH APP](#) [LOGOUT](#)

Hello KELLIE

**Session Time-out Notice**  
Your session will time-out after 20 minutes of inactivity. You can remain active by clicking a link in the Actions menu on this form, clicking elsewhere on the site, or clicking Ok [Close](#)  
when prompted to restart your session. Applicants are encouraged to save as Draft often to avoid losing work.

**ACTIONS**  
Submit

**BLOCK DESIGNATION DETAILS**

BLOCK DESIGNATION NUMBER:	BD-16
BLOCK DESIGNATION TITLE:	KU01072013A
EXPIRATION DATE:	12/31/2020
INSTRUCTIONS:	test

Attachments

- BD-16 SAFETY Act Block Notice
- BD-16SAFETY Act Block Instructions

**Step 3: The details on the selected Block or PQDN will display. Once you have verified that it is the correct filing, hit Submit. This will launch the Application form that you must fill out and submit.**



# Insurance and Financials

## Insurance

**Answer all parts of the insurance question in the relevant application**

**Answer for each policy separately**

## Financials

**Balance sheet, income statement, and statement of cash flows**

**Technology revenue projections for next 3 years**



Provide the number of employees in the company and how many worked directly on your Technology in the most recent fiscal year.



# Existing Blocks and *PQDNs*

---

- Transportation Security Administration's Certified Cargo Screening Program
- Transportation Security Administration's Screening Partnership Program
- *TSA Integrated Logistics Support Services for Passenger Screening Program/Airport Checkpoint Security Equipment*
- Domestic Nuclear Detection Office's GRaDER Program
- *Domestic Nuclear Detection Office Hand-held Radioisotope Identification Devices*
- SECURE Certified Mobile Potable Water Treatment Systems
- Multi-Band Radios
- DOE NNSA Global Threat Reduction Initiative Nuclear and Radiological Material Protection Program's In-Device Delay Kits and Associated Services
- Risk Assessments Performed Using the Environmental Protection Agency Vulnerability Self Assessment Tool 6.0
- Customs and Border Protection Tier 3 Customs-Trade Partnership Against Terrorism Services



# Transportation Security Administration's Certified Cargo Screening Program (CCSP)

Under this program, Certified Cargo Screening Facility (“Technology”) complies with Transportation Security Administration (TSA) directives for screening and securing cargo for transport on passenger aircraft. Per these directives, the operators of Certified Cargo Screening Facility (“Seller”) follows a security plan that:

- Limits access to secure cargo screening areas
- Requires to train and vet employees in accordance with TSA guidance
- Adheres to TSA-specified chain of custody rules for this cargo
- Uses TSA-approved methods and/or equipment for screening cargo.

The Technology includes only those locations in possession of TSA certifications for cargo screening facilities.

**Supply a copy of the TSA certification for cargo screening facility for each facility desired to be covered and answer the insurance/financial questions.**

# Transportation Security Administration's Screening Partnership Program (SPP)

TSA SPP services are provided at determined by the TSA airports in compliance with TSA standard operating procedures, operational directives related to screening functions, policies, and in accordance with the contractual statement of work TSA issued. The Technology includes, but is not limited to:

- Screening persons both entering and inside the airport's sterile area
- Screening baggage and other items destined for an aircraft or airport's sterile area
- Travel document checking
- Training
- Preventative maintenance of designated screening equipment
- Others

**Supply a list of airports at which you are approved to provide SPP services and answer the insurance/financial questions.**



# TSA Integrated Logistics Support Services for Passenger Screening Program/Airport Checkpoint Security Equipment

Selected vendors under the TSA Integrated Logistics Support Services for Passenger Screening Program/Airport Checkpoint Security Equipment (Solicitation number HSTSO4-13-R-CT3025) are eligible for a streamlined review.

Supply company information, date of contract award, and answer the insurance/financial questions.





# Domestic Nuclear Detection Office's GRaDER Program

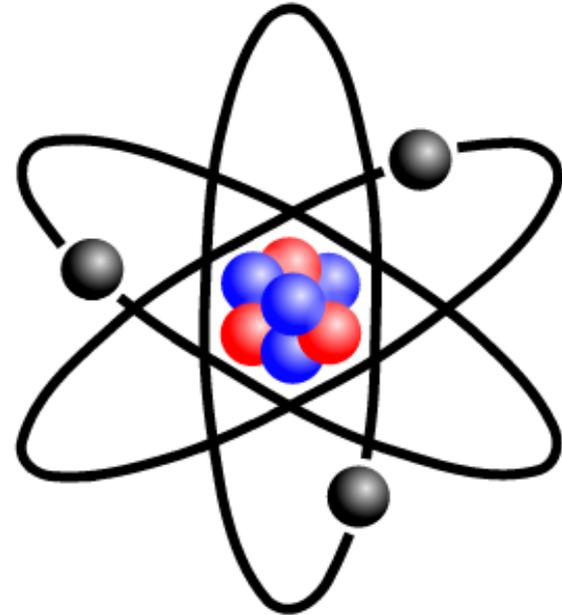
Instruments achieving Level 2 and Level 3 Compliance under the Domestic Nuclear Detection Office's (DNDO's) GRaDER Program are radiological detection equipment, including, but not limited to, hand-held detection systems, radiation portal monitors, spectroscopic portal monitors, personal survey meters, and other such instruments that conform to the applicable finalized ANSI N42 series standards governing radiological equipment. These standards will be identified and published by DNDO. The Technology may, based on the manufacturer, include user manuals, installation manuals, and training packages. See <http://www.dhs.gov/grader-instrument-performance-compliance-levels> for more information about compliance levels for GRaDER instrument performance.

**Supply copies of applicable independent test reports supporting adherence to the applicable ANSI N42 standards and answer the the insurance/financial questions.**



# Domestic Nuclear Detection Office Hand-Held Radioisotope Identification Devices

Selected vendors under the Domestic Nuclear Detection Office Hand-held Radioisotope Identification Devices (“RiiDs”) (Solicitation number HSHQDC-13-R-00005) are eligible for a streamlined review.



**Supply company information, date of contract award, and answer the insurance/financial questions.**



# SECURE Certified Mobile Potable Water Treatment Systems

Certified under the DHS S&T SECURE Program, Mobile Potable Water Treatment Systems for on-site production of potable water after a terrorist event are eligible for Block Designation.

- Designed to be transportable
- Weighs less than 8000 pounds
- Can be installed and operating within 48 hours of deployment
- Capable of pumping and treating raw surface water sources, including fresh, brackish, and saltwater sources, at minimum rate of 0.01 millions of U.S. gallons per day with an excess storage capacity of 15,000 U.S. gallons.
- Treated water complies with EPA minimum standards

**Supply your company and technology names and answer the insurance/financial questions.**



# Multi-Band Radios (MBRs)

Technology applicable to MBR block meets physical and performance requirements outlined in MBR Block Designation Instructions document posted on the SAFETY Act Web site. For example, MBRs operate on all radio bands between 138-174, 380-512 and 762-870 MHz; have the same form factor (dimensional) as similar single band radios; and include analog and digital Project 25 (“P25”) waveforms. Additionally, MBRs are listed on the P25 Compliance Assessment Program Website after passing the assessment are also listed under the Federal Emergency Management Agency Responder Knowledge Base Web site in the products/communications section.

**Supply your company and technology names and answer the insurance/financial questions.**



# In-Device Delay Kits: DOE NNSA Global Threat Reduction Initiative

In-Device Delay (IDD) Kits and Associated Services are used to delay the unauthorized removal of Cesium Chloride and Cobalt-60 from self-shielded irradiators.

- designed to extend time required for removal of radiological material, to allow for arrival of first responders.
- can be installed during factory construction by original equipment manufacturers or in field on existing unprotected equipment. Installation services and instructions are included.

**Supply your company and technology names and answer the insurance/financial questions.**



The EPA VSAT is a multi-hazard risk assessment tool to conduct risk assessments of water, wastewater, and/or combined utilities against man-made threats and natural disasters. The conducted risk assessment:

- Supports security planning decisions for prioritizing resources and countermeasures, based on the consequences, vulnerability, and likelihood of occurrence of Acts of Terrorism
- Performed under the direction and supervision of a public water system and/or public-owned treatment works owner, manager, or certified operator responsible for a water, wastewater, or combined utility
- Conducted by qualified persons
- Performed at a minimum of every 5 years

**Supply your company name, background information, and answer the insurance/financial questions.**



## Customs and Border Protection (CBP) Tier 3 Customs-Trade Partnership Against Terrorism (C-TPAT) Services

The company provides Tier 3 C-TPAT Services (“Technology”). These services are shipping services that are certified under the CBP C-TPAT Program and validated by CBP as exceeding C-TPAT minimum security criteria to perform at a Tier 3 level.

The Technology includes implementation of policies and procedures which exceed the minimum security criteria of the C-TPAT Program.

The minimum security criteria can be found at <http://www.cbp.gov/border-security/ports-entry/cargo-security/c-tpat-customs-trade-partnership-against-terrorism/apply/security-criteria>

**Supply your company name, description of services provided, and answer the insurance/financial questions.**



# In Review...

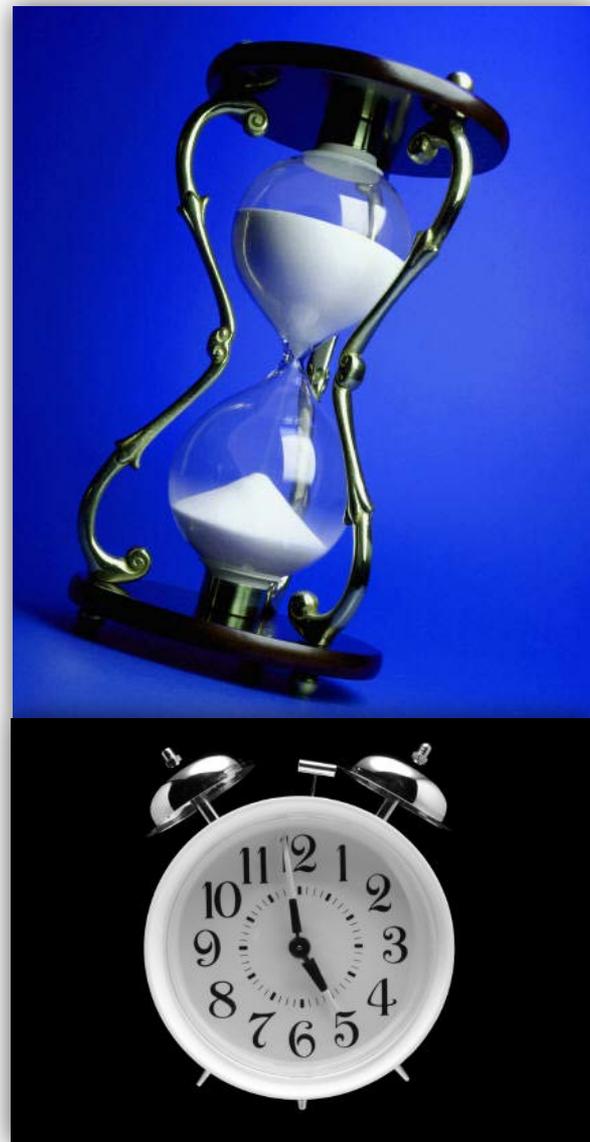
- Streamlined processing is available for a number of Technologies
- Please read the instructions for streamlined processing
- Submit technical information requested, along with answers to the insurance and financial questions
- To get any help in the application process, call the Office of SAFETY Act Implementation (OSAI)





# A note on PPQRs...

- Federal, State, and local officials (not private businesses) can file a procurement pre-qualification request (PPQR), if they are acquiring or intended to acquire an anti-terrorism Technologies.
- The OSAI will work with the acquiring agency to determine whether SAFETY Act applications for subject Technologies are eligible for a streamlined processing.





# Additional Information

---

**Online:** [www.safetyact.gov](http://www.safetyact.gov)

- FAQs
- Help Topics
- Step-by-Step User Guide
- SAFETY Act 101 Briefing
- SAFETY Act Fact Sheet
- Help Desk: Online form for questions requiring an individual response

**Email:** [SAFETYActHelpDesk@dhs.gov](mailto:SAFETYActHelpDesk@dhs.gov)

**Toll-Free:** 1-866-788-9318



# Homeland Security

---

Science and Technology